

PATENT APPLICATION
TRANSMITTAL LETTER

Docket Number: 4121-121

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as Express Mail in an envelope addressed to Commissioner for Patents, Washington, D.C., 20231 and Express mailed under the provisions of 37 CFR § 1.10


Blake Crouch

December 8, 2000

Date

EL468271268

Express Mail Label Number

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of:

Applicants: VON KNEBEL-DOEBERITZ, Magnus
KLEIN-BAUERNSCHMITT, Petra
ZUR HAUSEN, Harald
SCHLEHOFER, Jorg

Title: "USE OF ADENO-ASSOCIATED VIRUSES FOR DECREASING THE
RADIOTHERAPY-INDUCED OR CHEMOTHERAPY-INDUCED
RESISTANCE IN CANCER PATIENTS"

Filing Date: December 8, 2000 (Express Mail Filing Under 37 CFR § 1.10)

NOTE: This application is being filed without an Oath or Declaration under the provisions of 37 CFR § 1.53 in order that applicant may secure a filing date of December 8, 2000. Upon receipt of a "Notice to File Missing Parts - Filing Date Granted," a Declaration and Power of Attorney, Assignment and Small Entity Statement will be filed in the Patent and Trademark Office. The undersigned agent affirmatively states that he has been duly authorized and appointed to file this application on behalf of the applicants and their assignee, Deutsches Krebsforschungszentrum Stiftung Des Öffentlichen Rechts, and that the Declaration and Power of Attorney to be filed hereafter will confirm the undersigned agent's authorization and appointment. Deutsches Krebsforschungszentrum Stiftung Des Öffentlichen Rechts is a small entity under the provisions of 37 CFR § 1.9 and 37 CFR § 1.27. Small entity status is claimed.

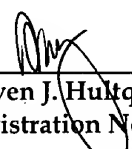
Please direct correspondence relating to this application to Steven J. Hultquist, IP/TL, P.O. Box 14329, Research Triangle Park, NC 27709, and direct telephonic communications relating to this application to Steven J. Hultquist at (919) 419-9350.

Enclosed are:

- ☒ Specification, claims, and abstract.
- ☒ 4 sheet(s) of drawing(s).
- ☐ An assignment of the invention to _____.
- ☐ Declaration and power of attorney.
- ☐ A certified copy of a _____ application.
- ☐ A verified statement to establish small entity status under 37 CFR § 1.9 and 37 CFR § 1.27.

THE PRIORITY OF GERMAN PATENT APPLICATION NO. 198 25 620.5, FILED JUNE 8, 1998, AS WELL AS INTERNATIONAL APPLICATION PCT/DE99/01711 FILED JUNE 8, 1999 IS HEREBY EXPRESSLY CLAIMED. THIS APPLICATION IS SUBMITTED UNDER 37 CFR 1.53 AND 35 USC 371 BASED ON INTERNATIONAL APPLICATION PCT/DE99/01711 CLAIMING PRIORITY OF GERMAN PATENT APPLICATION NO. 198 25 620.5

09/719336

17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS		PTO USE ONLY	
Basic National Fee (37 CFR 1.401(1)-(5)): Search Report has been prepared by the EPO or JPO \$860.00				528 Re		CT/PTO 08 DEC 2000	
International preliminary examination fee paid to USPTO (37 CFR 1.482) \$690.00 No International preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$710.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,000.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00							
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$		860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$			
Claims	Number Filed	Number Extra	Rate				
Total Claims	9 -20 =	0	X \$18.00	\$			
Independent Claims	2 -3 =	0	X \$80.00	\$			
Multiple dependent claim(s) (if applicable) 6			+ \$270.00	\$ 270.00			
TOTAL OF ABOVE CALCULATIONS =				\$ 1130.00			
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$ -565.00			
SUBTOTAL =				\$ 565.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$			
TOTAL NATIONAL FEE =				\$ 565.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$			
TOTAL FEE ENCLOSED =				\$ 565.00			
				Amount to be refunded:		\$	
				Charged		\$	
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>565.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>08-3284</u> . A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not yet been met, a petition to revive (37 CFR 1.127(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO: Steven J. Hultquist Intellectual Property/Technology Law P. O. Box 14329 Research Triangle Park, NC 27709							
<div style="text-align: right;">  Steven J. Hultquist Registration No. 28,021 </div>							